CHAPTER 9

Looking from the Periphery: Some Additional Thoughts on Yulin Cave 3

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1 Introduction

This contribution was conceived and developed from an encounter with a section of the pictorial programme of Yulin Cave 3.1 More specifically, it focuses on the programme of the diptych at the left and right sides of the entrance of the famous Cave 3 at Yulin (榆林) in the Hexi (河西) region. Produced during the Tangut Empire (ca. 1038–1227, in Chinese sources, known as Xixia 西夏),2 it represents as its main subjects, the Bodhisattvas Samantabhadra on the left and Mañjuśrī on the right side, who are both directed at the door and thus facing each other (figs. 9.1 and 9.2).3 Unlike the clearly recognisable main figures of the two paintings, the iconographical function of the various smaller central and peripheral elements does not seem to be completely coherent and conclusive. The paintings obviously do not refer to one single narrative programme, such as a major sūtra or legend, but seem to exist as a whole and particularly with all its peripheral details—some of which I will address and discuss here—a patchwork of different themes and topics added to the two central bodhisattvas, the meaningfulness of which the artist(s) probably was convinced when putting them together. Very possibly, the motifs, while originally coming from different sources, places and regions, were brought together in a local narrative context. When presenting my observations here—not as an art historian but as a textual scholar—I see my role as adding some comments on possible ways

1 In more concrete terms, the basis of this paper is a response which I gave to a paper by Michelle McCoy at the BuddhistRoad start-up conference at the Ruhr-Universität Bochum, which resulted in the publication of the present volume.
3 In most attempts at interpreting these two paintings, the narrative frame/periphery has been neglected in favour of the stylistic features of the main figures in the two paintings, the Bodhisattvas Mañjuśrī and Samantabhadra; see e.g. Lilla Russell-Smith, Uygur Patronage in Dunhuang: Regional Art Centres on the Northern Silk Road in the Tenth and Eleventh Centuries (Leiden, Boston: Brill, 2005), 219–220.
of interpreting some features of the paintings rather than explaining the full iconographic programme of the two, given my restricted knowledge of the period and discipline.

I think that the pictorial content of the diptych, although not yet fully understood, represents all aspects of the title of the conference and the present volume:

1. there clearly is sacred space;
2. there is pilgrimage in the form of the two peripheral figures Xuanzang (600/602–664, 玄奘) and Sudhana, discussed in my comments; and
3. there is patronage and legitimation through a newly invented or, rather, newly combined narrative (or narratives) around the establishment of sacred space of the Northern Mt. Wutai (Chin. Wutai shan 五台山) of the Tangut Empire.⁴

2 Tripiṭaka-Xuanzang and a Proto-Xiyou ji

As a student of Buddhist textual and narrative traditions, I would like to add some rather spontaneous thoughts on the peripheral elements, which may help the art historian to contextualise the paintings in a new way. I will first focus on two rather peripheral scenes or figures and their possible meaning and function: Xuanzang, or Sanzang (三藏), i.e. Tripiṭaka (as he is addressed in the respective texts), in the left upper part, and the figure identified as Sudhana in the right lower part of the Samantabhadra painting (figs. 9.3 and 9.4). In the more general context of both paintings, it seems possible that Xuanzang and Sudhana are featured in the diptych because they are, from a Sinitic Buddhist point of view, the ideal or idealised travellers or pilgrims; but it is as yet unknown why and in what function they may have been inserted into the composition.

I will start with the small group of Xuanzang, the monkey and the horse (fig. 9.3). In its iconographic composition, the group clearly reflects a developmental phase of the Xuanzang legend which was finally codified and popularised in the novel Xiyou ji 西遊記 [Record of the Journey to the West],⁵ composed

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during the Ming Dynasty (1368–1644, 明) and attributed to Wu Cheng’en (ca. 1500–1582, 吳承恩). It clearly reflects the stage that the legend had reached at the time of the Song Dynasty (960–1279, 宋), from which it must have been transferred to a Tangut context. The existence of a still nameless proto-Sun Wukong (孫悟空), referred to as monkey-traveller (Chin. hou xingzhe 猴行者) (and the horse loaded with the sacred scriptures), is clearly indicated in the earliest sources of the story as, for example, the so-called Kōzan-ji-Xiyou ji 高山寺-西遊記 [Record of the Journey to the West from the Kōzan Temple] which actually consists of two very similar texts, the Datang sanzang qujing shihua 大唐三藏取經詩話.
In those texts, a certain focus lies on Xuanzang’s and monkey’s return, and this seems to be the scene depicted in the diptych. In chapter fifteen of the

唐三藏取經事話 (or shihua 志話) [Story of the Matter of Tripiṭaka Fetching the sūtras from the Great Tang] or the Datang sanzang fashi qujing ji 大唐三藏法師取經記 [Record of the Dharma-Master Tripiṭaka Fetching the sūtras from the Great Tang].

6 I am using the electronic version of the text available at <https://zh.wikisource.org/zh-hant/大唐三藏取經事話>. 
Story of the Matter of Tripiṭaka Fetching the sūtras from the Great Tang, entitled Ru Zhuguo duhai zhi chu [On the Entering of the Kingdom of India and the Crossing of the Ocean], it is clearly described how the horse\(^7\) is loaded with the sacred scriptures before returning to China.\(^8\) The lotus pedestal

\(^7\) This horse is the white horse (Chin. *baima* 白馬) which the queen of the kingdom of the women gave to Xuanzang in a previous (tenth) chapter *Jingguo Nüren guo chu* 經過女人國處 [On the Passing Through the Kingdom of Women]. Japanese paintings from the Kamakura Period (1185–1333, 鎌倉時代) depict the itinerant monk in a similar way to the one in Yulin Cave 3, but without the horse: Dorothy Wong, “The Making of a Saint: Images of Xuanzang in East Asia,” *Early Medieval China* 8 (2002): 63. For other representations of Xuanzang, see *Asahi Shinbun* 朝日新聞, *Saiyūki no Shiruku Rōdo: Sanzō hōshi no michi* 西遊記のシルクロード: 三蔵法師の道 [The Silk Road and the World of Xuanzang] (Osaka: Asahi Shinbun, 1999).

\(^8\) 三蔵頂禮，點檢經文五千四十八卷，各各俱足，只無《多心經》本。法師收拾，七人扶持，牽馬負載，起程回歸告辭。竺國僧眾，合城盡皆送出，祝付法師回程百萬，經涉艱難，善為攝養，保護玄文；回到唐朝，作大利益。 [Master] Tripiṭaka paid reverence, checked the 5418 fascicles of *sūtras* [and] each of them was complete [but] only a copy of the *Prajñāpārami tāhṛdaya-sūtra* was miss-
The dharma master arranged [the sūtras], [his] seven companions assisted [him] and loaded one after the other on a horse in preparation for [their] return, [and they] took their leave. All the monks of the kingdom of India came out of the city to bid [him] farewell, wished the dharma master well for his return over millions [of miles] through hardship, [that he] kept up his health and took care of the mysterious texts; [when he would] have returned to the court of the Tang this would be of great benefit.” My translation differs from Charles J. Wivell, “The Story of How the Monk Tripiṭaka of the Great Country of T’ang Brought back the Sūtras,” in The Columbia Anthology of Traditional Chinese Literature, ed. Victor Mair (New York: Columbia University Press, 1994), 1200.
bearing the *sūtras* on the back of the horse in the painting may refer to the complete collection after the *Prajñāpāramitāhṛdayasūtra* (Chin. Xinjing 心經) [Heart *sūtra*] had been added in the next episode of the *Zhuanzhi Xianglin sishou xinjing ben* 轉至香林寺受心經本 [Arriving at the ‘Fragrant Grove Monastery’ and Receiving a Copy of the ‘Heart *sūtra*’].

Having clarified the context from which the small group of Xuanzang, the monkey and the horse originated, the connection with Samantabhadra and Mañjuśrī is still unclear. Dorothy Wong has tried to interpret the painting in connection with the *Gaṇḍavyūhasūtra* and supposes that “Xuanzang has assumed the role of the prototypical pilgrim Sudhana in his ultimate meeting with Samantabhadra.” Besides the fact that there is no textual evidence of such a connection, this is internally contradicted by the presence of Sudhana in the painting, which obviously has escaped Wong’s attention.

As it is a Song-period legend of Xuanzang and his monkey companion which is reflected in their appearance in the painting, it seems to be plausible to look for a connection between the bodhisattva(s) and the two pilgrims in this very narrative tradition and the two paintings. Unfortunately, neither Samantabhadra nor Mañjuśrī appear in the extant Song story, the *Story of the Matter of Tripiṭaka Fetching the sūtras from the Great Tang*.

However, the fact that the monk and the monkey in the painting are venerating at least one of the two bodhisattvas (i.e. Samantabhadra)—who always appear as a pair in the extant Ming Dynasty *Record of the Journey to the West*—seems to point to a version of the narrative in which Xuanzang and his accompanying monkey already had a connection with the bodhisattvas. In the extant *Record of the Journey to the West*, this connection is particularly the sub-narrative of chapter 77, *Qunma qi benxin yiti bai zhenru* 群魔欺本性 一體拜真如 [The Crowd of Demons Deceive the Fundamental Nature—One Body Pays Reverence to the True Nature]. Previously Xuanzang—Tang seng（唐僧）—and his companions have been captured by three demons: two of them Mañjuśrī’s and Samantabhadra’s transformed vehicles, the elephant Xiangwang（象王）or Baixiang（白象）and the lion Shiwang（獅王）or Qingshi（青獅）. When the monkey Sun Wukong is not able to free them, he travels through the air to India (Chin. Tianzhu 天竺), to Mt. Gṛdhrakūṭa（鷲峰山）, to ask the *tathāgata*（Chin. rulai 如來）for help. The *tathāgata* summons his

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9 On the link between the *Prajñāpāramitāhṛdayasūtra* and Xuanzang in Buddhist art, see Wong, “The Making of a Saint,” 63 and fig. 8. The paintings of the “Prajñā assembly” (Wong) interestingly represent Mañjuśrī, Samantabhadra and Xuanzang.

10 Ibid., 72.
disciples Ānanda (Chin. A’nan 阿儺) and Kāśyapa (Chin. Jiaye 迦葉) to get Mañjuśrī (Chin. Wenshu 文殊) from Mt. Wutai (Chin. Wutai shan 五臺山) and Samantabhadra (Chin. Puxian 普賢) from Mt. Emei (Chin. Emei shan 峨眉山), and all together they fly to the place where the monk and his companions are captured, subdue the demons—Mañjuśrī’s and Samantabhadra’s own animals—and free the monk and his companions.

It seems to me that a prototype of this very sub-episode in the Record of the Journey to the West from the Song period may be the underlying motif for Xuanzang’s and the monkey’s presence in the compositional framework, obviously already known to the Tanguts. I would suggest the following interpretation—admittedly hypothetical because it is not found in any textual version: the scene in the painting represents Xuanzang and the monkey on their way back from India when they pay respect to the two bodhisattvas because they previously had helped rescue them from being killed by the animals turned into demons.

To be sure, this interpretation does not necessarily invalidate Michelle McCoy’s statement that Xuanzang appears here to ‘authenticate’ the scenery. She may draw her authority from two different contexts: one as the ‘connoisseur’ of Buddhist sacred landscape beyond the Sinitic sphere—as a traveller or pilgrim, as it were—and another one, more specific, from the emerging legendary narrative finally developing into the Record of the Journey to the West as it was brought in literary form in the Ming Dynasty.

11 又被文殊、普賢念動真言，喝道：“這孽畜還不皈正，更待怎生？”唬得老怪、二怪不敢支撐，丟了兵器，打個滾，現了本相。二菩薩將蓮花台拋在那怪的脊背上，飛身跨坐，二怪遂茫茫皈依。

"But Mañjuśrī and Samantabhadra recited magical spells and shouted: 'These beasts still do not return to rightness—what are [they] waiting for?' They intimidated the two monsters [in a way that] they did not dare to resist, dropped [their] weapons, rolled around and displayed [their] original characteristic marks. The two bodhisattvas took their lotus pedestals, threw them on the back of the monsters and flew to sit on [them], [so that] the two monsters finally just disappeared and were converted [to their former tamed form]." Text quoted after Wikisource, “西遊記/第077回,” accessed October 6, 2018. <https://zh.wikisource.org/wiki/西遊記/第077回>. See also the translations in William J.F. Jenner, Journey to the West, 3 vols. (Beijing: Foreign Languages Press, 1982–1986) and Anthony C. Yu, The Journey to the West (Revised Edition), 4 vols. (Chicago, London: The University of Chicago Press, 2012).

12 Another proto-narrative is reflected in an album from the Yuan Dynasty (1279–1368, 元), in which Xuanzang is much more proactive—e.g. subduing demons—than in the extant Xiyou ji. See Wong, “The Making of a Saint,” 75 and fig. 18.

13 Xinjiang Rong, Eighteen Lectures on Dunhuang (Leiden, Boston: Brill, 2013), 481.
More evident is the link between Sudhana kumāra (Chin. Shancai tongzi 善財童子) and Samantabhadra (fig. 9.4): this is a clear reference to the Avataṃsaka-sūtra, which held much popularity in Tangut Buddhism,\(^\text{14}\) or more specifically, to the last section of the enormous Gandavyūhasūtra containing Sudhana’s famous pilgrimage on his quest for knowledge.\(^\text{15}\) After having visited spiritual advisors (Skt. kalyāṇamitra, Chin. shanzhishi 善知識), guided by Mañjuśrī, he finally receives the teaching by Samantabhadra.\(^\text{16}\) The depicted scene then represents this final stage of Sudhana’s quest for wisdom when he has reached Samantabhadra, perhaps, as indicated by his bodily position, coming from Mañjuśrī depicted on the other painting of the diptych. Sudhana has therefore reached the end of his physical and spiritual journey, as Xuanzang has accomplished his task of bringing back the sacred scriptures of Buddhism to China, depicted in the opposite corner of the painting. It seems that the shared themes between the presence of the two figures, Xuanzang and Sudhana, are pilgrimage and a ritualised landscape in which the two bodhisattvas, Samantabhadra and Mañjuśrī, had a position and place of their own in an imagined Buddho-Indian topography.\(^\text{17}\)


\(^{16}\) For this last stage of Sudhana’s journey from Mañjuśrī to Samantabhadra, see Buddhaguhadra’s (359–429) translation T. 278.9, 783b27, and Śikṣānanda’s (562–710) translation T. 279.9, 439b6. For an English translation of the Śikṣānanda version, see Thomas Cleary, The Flower Ornament Scripture: A Translation of the Avatamsaka Sutra (Boston, London: Shambala, 1993), 1503.

\(^{17}\) In this context, one could ask if such a connection—the one of a paradigmatic pilgrim—is not reflected in the existence of Song Dynasty (960–1279, 宋) Datang sanzang qujing shihua at the Kōzan Temple, a temple closely connected with Myōe (1173–1232, 明恵), one of the most eminent exponents of the Avatamsaka tradition in the Japanese medieval
A Tangut Drainage Narrative?

Although it is not surprising in the context of medieval Buddhist art that Samantabhadra and Mañjuśrī are paired together, the periphery of the Mañjuśrī painting raises interesting interpretational questions. At the conference, Michelle McCoy rightfully pointed out the specific feature of water landscape, particularly the dramatic streaming or pouring down of water from a cleft in the mountains in the Mañjuśrī painting (fig. 9.5). She linked this motif to water control and suggested that it reflects elements from the post-Tang Dynasty (618–907, 唐) foundation legend from Khotan, according to which Vaiśravana and Śāriputra drained a primordial lake by cutting its shore.18 The links to this narrative in the painting—apart from the king of Khotan standing or walking in front of Mañjuśrī19—seem to be the monk with a bodhisattva’s staff (Skt. kakkhara) in front of Mañjuśrī (Śāriputra) and the armoured deity behind the bodhisattva (Vaiśravaṇa)20 (fig. 9.6). However, there is no indication that the water scenery is directly influenced by the Khotanese story in which no connection with Mañjuśrī is found. While Mañjuśrī and the Khotanese king are a relatively well-established iconographic element in Dunhuang from the 10th century onwards, a direct link with the drainage motif is missing.

In light of what John Brough has already observed in his 1948 article “Legends of Khotan and Nepal”21 and my own research on Buddhist foundation

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18 For a detailed discussion of this and other ‘drainage’ legends in the wider Himalayan region, cf. Max Deeg, Miscellanea Nepalicae: Early Chinese Reports on Nepal—The Foundation Legend of Nepal in its Trans-Himalayan Context (Lumbini: Lumbini International Research Institute, 2016); on Khotan, see p. 113.


20 On the presence of this legend in Dunhuang, see now Ning, Art, Religion, and Politics in Medieval China, 92, and Anderl, "Linking Khotan and Dùnhuáng," 33.

legends along the wider Himalayan range, I would push this point a little bit further, even though it may appear somewhat speculative. While I do not know of any legend in which Samantabhadra is connected to water and its regulation, Mañjuśrī is clearly the main agent in one of the major drainage narratives in the Himalayan region. It is the Nepalese Buddhist story of Mañjuśrī cutting a hole into the mountains with his sword to release the water from the primordial lake in the Kathmandu Basin, populated by nāgas and flooding the light of the Svayambhū, which is recorded in its oldest form in the shorter version of the Newar Buddhist Svayambhū-purāṇa. The scene clearly shows a valley encompassed by high mountains and a building in the centre of the valley which

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22 Deeg, Miscellanea Nepalicae.
23 Ibid., 162.
might well be, in this case, a sinicised version of the Svayaṃbhū-caitya (fig. 9.5). All of these features do not really fit the Khotanese narrative but, rather, the Nepalese legend.

While it is obvious that the extant Nepalese legend itself has been influenced by the Chinese idea that Mañjuśrī is residing on the Five-Peak-Mountain, Mt. Wutai (Skt. Pañcaśikhaparvata), the extant Mt. Wutai legends from China do not contain any element regulating or controlling water except the constant emphasis of Mañjuśrī's role as the poisonous nāgas (Chin. dulong 毒龍). Thus, however this may be explained historically, the diptych's focus on water and its flow—if it has anything to do with the two major bodhisattva figures (and maybe others, some of which are unknown). It is only in the Nepalese narrative that Mañjuśrī acts as a central figure. One possibility is, of course, that the painter(s) combined the well-known combination of the Khotanese king and Mañjuśrī with the other famous Khotanese motif, the drainage of the primordial lake through Śāriputra and Vaiśravaṇa. What is missing, however, is the link with Mt. Wutai, Mañjuśrī's residence in China.

There is another point that makes such a connection between the painting and the Nepalese legend (or a similar one) even more likely—the presence of both Mt. Wutai (Skt. Pañcaśikhaparvata) and Mt. Gośīrṣa, Oxhead Mountain (Chin. Niutou shan 牛頭山, Skt. Gośīrṣaparvata), respectively Mt. Gośṛṅga, Oxhorn Mountain (Chin. Niujiao shan 牛角山, Skt. Gośṛṅgaparvata), is only explainable in the wider Trans-Himalayan context of the ubiquitous mythological narrative of the foundation of the country through the drainage of a

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25 Ibid., 181.
primordial lake which is therefore neither flooding nor damming. It is the Nepalese story’s link to Mt. Wutai which makes the link most plausible. The Khotanese nomenclature of the Oxhorn and Oxhead Mountain clearly is influenced by the Gośṛṅga (Oxhorn) or Gopucchaparvata (Oxtail Mountain) in the Nepalese story; but Mt. Wutai is not playing any role in this story. It then may have been the common motif of the drainage of the primordial lake which prompted the artist(s) to make a connection—almost intuitively—between the Khotanese and the Nepalese narratives of draining a primordial lake.

Furthermore, the fact that the Oxhead Mountain playing such an important role in the Khotanese narrative is also found in the Chinese Avatāṃsaka tradition may explain the combination of Mañjuśrī and Samantabhadra in the diptych, the two bodhisattvas who figure so prominently as a complementary pair in the Avatāṃsakasūtra. The mountain may be the logical narrative link to the drainage motif in the Mañjuśrī painting. Historically, a Nepalese-Tangut connection, maybe through Tibet, cannot be excluded as the origin of the particular iconography in Yulin Cave 3. The artist(s), in this case, would have woven together many different strands of legends and motifs into the incredibly dense patchwork of elements and motifs in the diptych.

5 Conclusion

In light of the wider artistic programme of the cave, I am tempted to speculate about the position and function of the diptych to the left and right of the entrance as a passage through the mountainous areas into, or from, the more central Buddha realm inside the cave, represented by the programmes of the other paintings in the same cave; for example, scenes from the life of the Buddha, from the Vimalakīrtisūtra, the Pure Land of the Buddha Amitāyus. Traveling in and access to a sacred space, an imagined and idealised ‘India’ of the Buddha and Vimalakīrti or Buddha land, could well be represented by the two paintings at the side of the entrance to the cave—the peripheral figures of Xu-anzang and Sudhana only underlining and making recognisable this very idea.

If the diptych really is the result of an amalgamation process of motifs and narrative elements from such different periods and regions as my suggested interpretation implies, then it obviously represents very well the Buddhist

26 Deeg, Miscellanea Nepalicae, 123 note 525.
nodes thematically framing the topic of the present volume, which allowed new Buddhist clientele—the Tangut patrons—to reformulate successfully already existing concepts, elements and narratives from different but still entangled regions for the sake of creating their own form of Buddhist identity.